OIG/GSA Exclusion Screening Log: Downstream Entities

Instructions: Screen the potential Downstream Entity's name against BOTH the OIG and GSA SAM exclusion lists (links b entity, screen the entity monthly after the initial screening. For audit purposes, you must save any research specific to

Quick Links:

OIG exclusion list

GSA SAM exclusion list

			January			
			OIG		GSA SAM	
#	Name	Contract/Hire Date	Date	Result	Date	Result
EXAMPLE	ATRIO	1/15/2016	1/14/2016	Not Excluded	1/14/2016	Not Excluded
1						
2						
3						
4						

elow) and record initial date of screening in the month that it occurred. Indicate screening results. Also indicate any a
potential match investigations (e.g., website screenshots, etc.).

CMS Requirements:

- First Tiers and Downstream Entities must screen applicable Downstream Entities against the OIG and GSA SAM exclusion lists prior to contracting
- First Tiers and Downstream Entities must screen applicable Downstream Entities against the OIG and GSA SAM exclusion lists monthly, validate a

February				March				
OIG		GSA SAM		OIG		GSA SAM		OI
Date	Result	Date	Result	Date	Result	Date	Result	Date

ctions taken on positively matched entities, as applicable. [Note CMS requirements below to which you must comply.]

g, validate any potential initial list matches, and not utilize positively matched entities for Aetna Medicare products. (CMS Medicare Ch.9/21 Compl

any potential list matches, and immediately remove any positively matched entities from the Aetna Medicare products. (CMS Medicare Ch.9/21 Co

April			May				Ju	
IG	GSA SAM		OIG		GSA SAM		OIG	
Result	Date	Result	Date	Result	Date	Result	Date	Result

| If contracted with

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ne		July				August		
GSA SAM		OIG		GSA SAM		OIG		GSA
Date	Result	Date	Result	Date	Result	Date	Result	Date

	September				October			
SAM	OIG		GSA SAM		OIG		GSA SAM	
Result	Date	Result	Date	Result	Date	Result	Date	Result

November				December			
OIG		GSA SAM		OIG		GSA SAM	
Date	Result	Date	Result	Date	Result	Date	Result